

JC20 Rec'd PCT/PTO 25 OCT 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****ATTORNEY DOCKET NO. 065691-0410**

Applicant: Joël RICHARD et al.

Title: METHODS FOR THE DISPERSION OF WATER-SOLUBLE OF HYDROPHILIC SUBSTANCES IN A SUPERCRITICALLY PRESSURIZED FLUID

Appl. No.: Unassigned

Filing Date: 10/25/2005

Examiner: Unassigned

Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

Appl. No. Unassigned

Atty. Dkt. No. 065691-0410

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**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

**RELEVANCE OF EACH DOCUMENT**

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding International application. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached. Copies of the documents are not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and the JPO.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

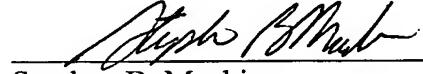
Respectfully submitted,

October 25, 2005

Date

FOLEY & LARDNER LLP  
Customer Number: 22428

Telephone: (202) 672-5569  
Facsimile: (202) 672-5399

  
Stephen B. Maebius  
Attorney for Applicant  
Registration No. 35,264

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

|                        |                     |
|------------------------|---------------------|
| Application Number     | Unassigned          |
| Filing Date            | 10/25/2005          |
| First Named Inventor   | Joël RICHARD et al. |
| Group Art Unit         | Unassigned          |
| Examiner Name          | Unassigned          |
| Attorney Docket Number | 065691-0410         |

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
|                    |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |   |
| A1                 | 6,191,215             | B1                   | RALF et al.                       | 02/20/2001                                      |  |   |
|                    |                       |                      |                                   |   |  |   |
|                    |                       |                      |                                   |   |  |   |
|                    |                       |                      |                                   |   |  |   |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|--|--|---|----------------|
|                    |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |  |  |   |                |
| A2                 | EP                    | 0 706 821               | A                   | Microencapsulation Centre         | 04/17/1996                                       |  |   |                |
| A3                 | WO                    | 97/18234                | A                   | Genencor International Inc.       | 05/22/1997                                       |  |   |                |
|                    |                       |                         |                     |                                   |  |  |   |                |
|                    |                       |                         |                     |                                   |  |  |   |                |

## NON PATENT LITERATURE DOCUMENTS

|                    |                       |  |                |
|--------------------|-----------------------|--|----------------|
| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |
|                    | A4                    | DA ROCHA et al., "Effect of Surfactants on the Interfacial Tension and Emulsion formation Between Water and Carbon Dioxide," Langmuir, Vol. 15, 1999, pgs. 419-428.  |                |
|                    | A5                    | DA ROCHA et al., "Concentrated CO <sub>2</sub> -In-Water Emulsions with Nonionic Polymeric Surfactants," Journal of Colloid and Interface Science, Vol. 239, No. 1, July 1, 2001, pgs. 241-253.  |                |
|                    | A6                    | DESIMORE et al., "Dispersion Polymerizations in Supercritical Carbon Dioxide," Science, American Association for the Advancement of Science, Vol. 265, July 15, 1994, pgs. 356-359.  |                |
|                    | A7                    | HUSSAIN et al., "Synthesis and Characterization of Poly(Ethylene Oxide) and Poly(Perfluorohexylethyl Methacrylate) Containing Triblock Copolymers," Macromolecular Chemistry and Physics, Vol. 203, No. 14, October 13, 2002, pgs. 2103-2112.                  |                |
|                    | A8                    | JOHNSTON, "Block Copolymers as Stabilizers in Supercritical Fluids," Current Opinion in Colloid and Interface Science, Vol. 5, No. 5-6, 2000, pgs. 351-356.  |                |
|                    | A9                    | LIM et al., "Synthesis and Properties of Semifluorinated Block Copolymers Containing Poly(Ethylene Oxide) and Poly(Fluoroctyl Methacrylates) Via Atom Transfer Radical Polymerisation," Vol. 43, No. 25, Elsevier Science Publishers, 2002, pgs. 7043-7049.    |                |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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